

ARKANSAS
STATE PLAN ON AGING



FEDERAL FISCAL YEARS
2003-2006

MIKE HUCKABEE
GOVERNOR



Arkansas Department of Human Services Division of Aging and Adult Services

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July 28, 2003

Mr. Larry Brewster
DHHS Administration on Aging
1301 Young Street
Room 736
Dallas, TX 75201

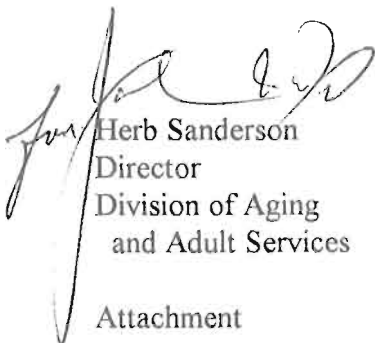
SUBJ: State Plan on Aging

Dear Mr. Brewster:

The attachment is the final draft of the Arkansas State Plan on Aging for federal fiscal years 2003-2006. The plan is being submitted for your review and approval.

Please contact Diane Broadway at (501) 682-0426 for questions or comments regarding the State Plan. Thank you for your guidance and assistance during this process.

Sincerely,


Herb Sanderson
Director
Division of Aging
and Adult Services
Attachment

NEWS RELEASE

ARKANSAS DEPARTMENT OF HUMAN SERVICES

For More Information, Contact:

Diane Broadway
(501) 682-8504

July 8, 2003

Public Hearing set on State-wide Aging Services

The Division of Aging and Adult Services will hold a public hearing on its 2003-2006 State Plan for Aging services on July 11th 2003, at 9:00 a.m. at 7th and Main Street, Donaghey Plaza South, Little Rock, Arkansas, 1st floor conference room B. The purpose of the public hearing is to present the agency's plan for aging services for federal fiscal years 2004/2005/2006 and to allow for public input into the process. The plan will cover aging programs provided statewide.

Included in the plan are specific goals and objectives for the provision of services to the older population.

The public is encouraged to attend the public hearing.

Notice of this public hearing also appears on the DAAS web site at www.accessarkansas.org/dhs/aging .

STATE PLAN PUBLIC HEARING

The State Plan on Aging for federal fiscal years 2003-2006 was presented for public review and comment on July 11, 2003.

The public hearing was held at 7th and Main, Donaghey Plaza West, Little Rock, AR. Those attending the hearing represented state aging staff, advisory council members and the Media/public.

A review of the contents of the Plan was conducted by agency staff.

General comments and questions:

- What type of funding is available for services
- The need for increased services by frail seniors

Baby boomers needing services

- Involvement of Governor's Advisory Council on Aging in State Plan development
- Need for comprehensive prescription drug program

Notice of the public hearing announcement and the goals and objectives of the plan were placed on the Division's web site.

STATE PLAN ON AGING
under
TITLE III OF THE
OLDER AMERICANS ACT

ARKANSAS
State

For the 3 Year Period of

October 1, 2003 through September 30, 2006

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VERIFICATION OF INTENT


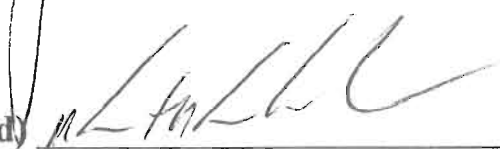
The State Plan on Aging is hereby submitted for the State of Arkansas for the period October 1, 2003 through September 30, 2006. It includes all assurances and plans to be conducted by the

Department of Human Services
Division of Aging and Adult
Services

Under provisions of the Older Americans Act, as amended, during the period identified. The State Agency named above has been given the authority to develop and administer the State Plan on Aging in accordance with all requirements of the Act, and is primarily responsible for the coordination of all State activities related to the purposes of the Act, i.e., the development of comprehensive and coordinated service systems and nutrition services, and to serve as the effective and visible advocate for the elderly in the State.

This plan is hereby approved by the Governor and constitutes authorization to proceed with activities under the plan upon approval by the Assistant Secretary on Aging.

The State Plan on Aging hereby submitted has been developed in accordance with all Federal statutory and regulatory requirements.

<u>7/14/03</u> (Date)	for (Signed)  (State Unit on Aging Director)
<u>7/15/03</u> (Date)	(Signed)  (State Agency Director)

I hereby approve this State Plan on Aging and submit it to the Assistant Secretary on Aging for approval.

<u>7-22-03</u> (Date)	(Signed)  (Governor)
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PART I: NEED FOR SERVICES

Profile of Older Arkansans

Arkansas' Elderly Population and Poverty Projection

PROFILE OF OLDER ARKANSANS

Census 2000

In 2000, 491,409 Arkansans were 60 or older. This represents 18% of the population and is the fifth highest percentage in the U.S.

The older population is getting older. Between 1993 and 2000 the number of Arkansans aged 75–84 grew to 162,124, an increase of 37%. The number of Arkansans aged 85 years and older grew to 46,492, an increase of 34%.

In 2000, 36% of older Arkansans lived in seven counties – Pulaski (11%), Benton, Garland, Jefferson, Saline, Sebastian and Washington.

The growth of the older population is slowing somewhat due to the birth of fewer babies during the Great Depression. However, the most rapid growth of the older population is expected to occur between 2010 and 2030 when the “baby boom” generation reaches 65. There are now 424,389 Arkansans aged 50–64.

In 2000, 21% of all older Arkansans lived alone. The percentage increased with age with the percentage of Arkansans 65 and older at 23%.

In 2000, 4% of Arkansans 65 or older lived in nursing homes. In 2003, of all Arkansans 65 or older residing in nursing homes, 49% are on the Arkansas Medicaid program.

In 2000, 87% of older Arkansans were White, 10% were Black and less than 3% were other races. The proportion of Elderly non-white population has declined since 1940.

In 2000, 19% of older Arkansans were working or actively seeking work, including 24% of men and 15% of women.

Source: U.S. Census Bureau; The Henry J. Kaiser Family Foundation “State Health Facts Online,” Social Security Administration, ACES NH Report WR87017

ARKANSAS' ELDERLY (60+) POPULATION AND POVERTY PROJECTIONS

ELDERLY POPULATION - 2000

Arkansas' Elderly Population	491,409
Arkansas' Minority Elderly Population	56,474
Proportion of Elderly Population Who Are Minorities	11%

PREVALENCE OF POVERTY - 2000

Proportion of Arkansas' Elderly Population Below Poverty Level	23%
Proportion of Arkansas' Minority Elderly Population Below Poverty Level	36%

ELDERLY ARKANSANS BELOW POVERTY LEVEL - 2000

Elderly Arkansans Below Poverty Level	113,153
Elderly Arkansans Below Poverty Level Who Are Minorities	27,275
Proportion of Elderly Below Poverty Level Who Are Minorities	24%

Methodology: The population ratios were derived by applying the 2000 U.S. Census proportions of persons age 60 and over, minority persons 60 and over, and low-income persons 60 and over to the U.S. Census estimates of the population by age group for states.

Source: U.S. Census Bureau, The Henry J. Kaiser Family Foundation "State Health Facts Online."

PART II: ASSURANCES

General Assurances

Program Specific Assurances

Narrative Statements Required by State Plan Assurances

Targeting Practices

Priority Service Requirements

PART II

ASSURANCES

The Applicant hereby assures and certifies that it will comply with the regulations, policies, guidelines and requirements, including 45 CFR Part 74 and OMB Circulars No. A-102, A-110 and applicable cost principles, (Circulars: A-21, "Educational Institutions"; A-87, "Cost Principles for State and Local Governments"; and A-122, "Nonprofit Organizations"), as they relate to the application, acceptance and use of Federal funds for this Federally assisted project. Also the applicant assures and certifies with respect to the grant that:

1. It possesses legal authority to apply for the grant; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
2. It will comply with Title Vi of the Civil Rights Act of 1964 (P.L. 88-352) and in accordance with Tile VI of that Act, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement.
3. It will comply with Title VI of the Civil Rights Act of 1964 (42 USC 2000d) prohibiting employment discrimination where (2) the primary purpose of a grant is to provide employment or (2) discriminatory employment practices will result in unequal treatment of persons who are or should be benefiting form the grant-aided activity.
4. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real property Acquisition Act of 2970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.
5. It will comply with the provisions of the Hatch Act which limit the political activity of State and local government employees.
6. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201) as they apply to employees of institutions of higher education, hospitals, to her nonprofit organizations, and to employees of State and local governments who are not employed in integral operations in areas of traditional governmental functions.

Head Start, Certification of Minimum Wage:
It certifies that it has reviewed the salary structures and wages for all positions and certifies that persons employed in carrying out this program shall not receive compensation at a rate which is (a) in excess of the average rate of compensation paid in the area to persons providing substantially comparable services; or (b) less than the minimum wage rate prescribed in section 6(a) of the Fair Labor Standards Act of 1938. Documentation of the methods by which it established age scales is available in their files for review by audit and HDS personnel.
7. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
8. It will give the sponsoring agency or the Comptroller General through any authorized representative the access to and the right to

examine all records, books, papers, or examine all records, books, papers, or documents related to the grant, including the records of contractors and subcontractors performing under the grant.

9. It will comply with all requirements imposed by the Federal sponsoring agency concerning special requirements of law, program requirements, and other administrative requirements.
10. It will insure that the facilities under its ownership, lease or supervision which shall be utilized the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violation Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.

The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

11. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster protection Act of 1973, Public Law 93-234, 87 Stat. 975, approved December 31, 1976. Section 102(a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards.
12. It will assist the Federal grantor agency in its compliance with Section 10-6 of the National Historic Preservation Act of 1966 as amended (16 U.S.C. 470), Executive

Order 11593, and the Archeological and Historic Preservation Act of 1966 (16 U.S.C. 469a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the grantee's activity and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

13. Applicants for the Administration for Native Americans Programs, hereby certify in accordance with 45 CFR 1336.53, that the financial assistance provided by the Office of Human Development Services for the specified activities to be performed under this program, will be in addition to, and not in substitution for, comparable activities provided without Federal assistance.
14. It will comply with the Age Discrimination Act of 1975 enacted as an amendment to the Older Americans Act (Pub. L. 94-135), which provides that: No person in the United States shall, on the basis of age be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity for which the applicant receives Federal financial assistance.
15. It will comply with Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), all requirements imposed by the applicable HHS regulation (45 C.F.R. part 84), and all guidelines and interpretations issued pursuant thereto, which prohibits discrimination on the basis of handicap in programs and activities receiving Federal financial assistance.
16. It will comply with Title IX of the Education Amendments of 1972 (20 U.S.C. 1681), et seq.) which prohibits discrimination on the basis of sex in education programs and activities receiving

Federal financial assistance (whether or not the programs or activities are offered or sponsored by an educational institution).

17. It will comply with Pub. L. 93-348 as implemented by part 46 of Title 45 (45 CFR 46, 42 U.S.C. 2891) regarding the protection of human subjects involved in research, development, and related activities supported by the grant.
18. It will comply with the equal opportunity clause prescribed by Executive Order 11246, as amended, and will require that its subrecipients include the clause in all construction contracts and subcontracts which have or are expected to have an aggregate value within a 12-month period exceeding \$10,000, in accordance with Department of labor regulations at 41 CFR Part 60.
19. It will include, and will require that its subrecipients include, the provision set forth in 29 CFR 5.5(c) pertaining to overtime and unpaid wages in any nonexempt nonconstruction contract which involves the employment of mechanics and laborers (including watchmen, guards, apprentices, and trainees) if the contract exceeds \$2,500.

**State of Arkansas
Department of Human Services
Division of Aging and Adult Services (DAAS)**

State Plan Years October 1, 2004-September 30,2006

State Plan Assurances

(Older Americans Act, As Amended in 2000)

The state agency (Division of Aging and Adult Services) assures that:

Sec. 305, ORGANIZATION

(1) The State agency has, except as provided in subsection (b)(5), designated for each area (planning and service area) after consideration of the views offered by the unit or units of general purpose local government in such area, a public or private nonprofit agency or organization as the area agency on aging for such area.

((a)(2)(A))

(2) the State agency will take into account, in connection with matters of general policy arising in the development and administration of the State plan for any fiscal year, the views of recipients of supportive services or nutrition services, or individuals using multipurpose senior centers provided under such plan.

((a)(2)(B))

(3) preference will be given to providing services to older individuals with greatest economic need and older individuals with greatest social need, with particular attention to low-income minority individuals and older individuals residing in rural areas and include proposed methods of carrying out the preference which are identified within this State plan.

((a)(2)(E))

(4) the State agency will require use of outreach efforts described in section

307(a)(16). **((a)(2)(F))**

(5) the State agency will undertake specific program development, advocacy, and outreach efforts focused on the needs of low-income minority older individuals and older individuals residing in rural areas.

((a)(2)(G)(ii))

(6) In the case of a State specified in subsection (b)(5), the State agency and area agencies shall provide assurance, determined adequate by the State agency, that the area agency on aging will have the ability to develop an area plan and to carry out, directly or through contractual or other arrangements, a program in accordance with the plan within the planning and service area.

((c)(5))

Sec. 306, AREA PLANS

(1) Each area agency on aging will be required to provide assurances that an adequate proportion, as required under section 307(a)(2), of the amount allotted for part B to the planning and service area will be expended for the delivery of each of the following categories of services-

- (A) services associated with access to services (transportation, outreach, information and assistance, and case management services);
- (B) in-home services, including supportive services for families of older individuals who are victims of Alzheimer's disease and related disorders with neurological and organic brain dysfunction; and
- (C) legal assistance;

and assurances that the area agency on aging will report annually to the State agency in detail the amount of funds expended for each such category during the fiscal year most recently concluded. **((a)(2))**

(2) Each area agency on aging shall be required to provide assurances that the area agency on aging will set specific objectives for providing services to older individuals with greatest economic need and older individuals with greatest social need, include specific objectives for providing services to low-income minority individuals and older individuals residing in rural areas, and include proposed methods of carrying out the preference in the area plan.

((a)(4)(A)(i))

(3) Each area agency on aging shall be required to provide assurances that the area agency on aging will include in each agreement made with a provider of any service under this title, a requirement that such provider will--

- (A) specify how the provider intends to satisfy the service needs of low-income minority individuals and older individuals residing in rural areas in the area served by the provider;
- (B) to the maximum extent feasible, provide services to low-income minority individuals and older individuals residing in rural areas in accordance with their need for such services; and
- (C) meet specific objectives established by the area agency on aging, for providing services to low-income minority individuals and older individuals residing in rural areas within the planning and service area. **((a)(4)(A)(ii))**

(4) With respect to the fiscal year preceding the fiscal year for which such plan is prepared, each area agency on aging shall--

- (A) identify the number of low-income minority older individuals and older individuals residing in rural areas in the planning and service area;
- (B) describe the methods used to satisfy the service needs of such minority older individuals; and
- (C) provide information on the extent to which the area agency on aging met the objectives described in clause (a)(4)(A)(i). **((a)(4)(A)(iii))**

(5) Each area agency on aging shall be required to provide assurances that the area agency on aging will use outreach efforts that will identify individuals eligible for assistance under this Act, with special emphasis on--

- (A) older individuals residing in rural areas;
- (B) older individuals with greatest economic need (with particular attention to low-income minority individuals and older individuals residing in rural areas);
- (C) older individuals with greatest social need (with particular attention to low-income minority individuals and older individuals residing in rural areas);
- (D) older individuals with severe disabilities;
- (E) older individuals with limited English-speaking ability; and
- (F) older individuals with Alzheimer's disease or related disorders with neurological and organic brain dysfunction (and the caretakers of such individuals); and inform the older individuals referred to in (A) through (F), and the caretakers of such individuals, of the availability of such assistance. **((a)(4)(B))**

(6) Each area agency on agency shall be required to provide assurance that the area agency on aging will ensure that each activity undertaken by the agency, including planning, advocacy, and systems development, will include a focus on the needs of low-income minority older individuals and older individuals residing in rural areas.

((a)(4)(C))

(7) Each area agency on aging shall be required to provide assurances that the area agency on aging will coordinate planning, identification, assessment of needs, and provision of services for older individuals with disabilities, with particular attention to individuals with severe disabilities, with agencies that develop or provide services for individuals with disabilities. **((a)(5))**

(8) Each area agency on aging shall be required to provide assurances that the area agency on aging, in carrying out the State Long-Term Care Ombudsman program under section 307(a)(9), will expend not less than the total amount of funds appropriated under this Act and expended by the agency in fiscal year 2000 in carrying out such a program under this title. **((a)(9))**

(9) Each area agency on aging shall be required to provide information and assurances concerning services to older individuals who are Native Americans (referred to in this paragraph as "older Native Americans"), including-

- (A) information concerning whether there is a significant population of older Native Americans in the planning and service area and if so, an assurance that the area agency on aging will pursue activities, including outreach, to increase access of those older Native Americans to programs and benefits provided under this title;
- (B) an assurance that the area agency on aging will, to the maximum extent practicable, coordinate the services the agency provides under this title with services provided under title VI; and

(C) an assurance that the area agency on aging will make services under the area plan available, to the same extent as such services are available to older individuals within the planning and service area, to older Native Americans. **((a)(1 1))**

(10) Each area agency on aging shall be required to provide assurances that the area agency on aging will maintain the integrity and public purpose of services provided, and service providers, under this title in all contractual and commercial relationships.

((a)(13)(A))

(11) Each area agency on aging shall be required to provide assurances that the area agency on aging will disclose to the Assistant Secretary and the State agency--

(A) the identity of each nongovernmental entity with which such agency has a contract or commercial relationship relating to providing any service to older individuals; and

(B) the nature of such contract or such relationship.

((a)(13)(B))

(12) Each area agency on aging shall be required to provide assurances that the area agency will demonstrate that a loss or diminution in the quantity or quality of the services provided, or to be provided, under this title by such agency has not resulted and will not result from such non-governmental contracts or such commercial relationships. **((a)(13)(C))**

(13) Each area agency on aging shall be required to provide assurances that the area agency will demonstrate that the quantity or quality of the services to be provided under this title by such agency will be enhanced as a result of such non-governmental contracts or commercial relationships. **((a)(13)(D))**

(14) Each area agency on aging shall be required to provide assurances that the area agency will, on the request of the Assistant Secretary or the State, for the purpose of monitoring compliance with this Act (including conducting an audit), disclose all sources and expenditures of funds such agency receives or expends to provide services to older individuals. **((a)(13)(E))**

(15) Each area agency on aging shall be required to provide assurances that funds received under this title will not be used to pay any part of a cost (including an administrative cost) incurred by the area agency on aging to carry out a contract or commercial relationship that is not carried out to implement this title. **((a)(14))**

(16) Each area agency on aging shall be required to provide assurances that preference in receiving services under this title will not be given by the area agency on aging to particular older individuals as a result of a contract or commercial relationship that is not carried out to implement this title. **((a)(15))**

Sec. 307, STATE PLANS

(1) The plan describes the methods used to meet the need for services to older persons residing in rural areas in the fiscal year preceding the first year to which this plan applies.:

((a)(3)(B)(iii))(See note below)

Note: The 2004 projected costs of providing services to older individuals residing in rural areas of Arkansas is approximately \$20, 552,028. The number served was 78.4%. The method of classifying areas as rural and urban was developed in consultation with the AAAs. We have used zip codes to classify urban and rural areas for NAPIS reporting purposes and have adopted this as a basis for identifying and targeting the needs of older persons residing in rural areas. Other methods used to meet these needs include;

1. Outreach by AAAs and DAAS, including *Aging Arkansas*, DAAS and AAA web pages, presentations to community groups, events, and articles at the local level.
2. The majority of Arkansas senior centers are located in rural areas.
3. Transportation through van network of local senior centers.
4. Provision of home delivered meals, in-home services, telephone reassurance, and other services on an individual, case managed basis.
5. Pursuit of waivers and grants, either as a state agency or working with community partners.

(2) DAAS assures that such fiscal control and fund accounting procedures will be adopted as may be necessary to assure proper disbursement of, and accounting for, Federal funds paid under this title to the State, including any such funds paid to the recipients of a grant or contract.

((a)(7)(A))

(3) DAAS assures that--

- (A) no individual (appointed or otherwise) involved in the designation of the State agency or an area agency on aging, or in the designation of the head of any subdivision of the State agency or of an area agency on aging, is subject to a conflict of interest prohibited under this Act;
- (B) no officer, employee, or other representative of the State agency or an area agency on aging is subject to a conflict of interest prohibited under this Act; and
- (C) mechanisms are in place to identify and remove conflicts of interest prohibited under this Act. **((a)(7)(B))**

(4) DAAS assures that the State agency will carry out, through the Office of the State Long-Term Care Ombudsman, a State Long-Term Care Ombudsman program in accordance with section 712 and this title, and will expend for such purpose an amount that is not less than an amount expended by the State agency with funds received under this title for fiscal year 2000, and an amount that is not less than the amount expended by the State agency with funds received under title VII for fiscal year 2000. **((a)(9))**

- (5) DAAS assures that the special needs of older individuals residing in rural areas will be taken into consideration and has described how those needs have been met and describe how funds have been allocated to meet those needs. (a)(10)) **(See #1 above)**
- (6) DAAS assures that area agencies on aging will--
- (A) enter into contracts with providers of legal assistance which can demonstrate the experience or capacity to deliver legal assistance;
 - (B) include in any such contract provisions to assure that any recipient of funds under division (A) will be subject to specific restrictions and regulations promulgated under the Legal Services Corporation Act (other than restrictions and regulations governing eligibility for legal assistance under such Act and governing membership of local governing boards) as determined appropriate by the Assistant Secretary; and
 - (C) attempt to involve the private bar in legal assistance activities authorized under this title, including groups within the private bar furnishing services to older individuals on a pro bono and reduced fee basis. **((a)(11)(A))**
- (7) DAAS assures that no legal assistance will be furnished unless the grantee administers a program designed to provide legal assistance to older individuals with social or economic need and has agreed, if the grantee is not a Legal Services Corporation project grantee, to coordinate its services with existing Legal Services Corporation projects in the planning and service area in order to concentrate the use of funds provided under this title on individuals with the greatest such need; and the area agency on aging makes a finding, after assessment, pursuant to standards for service promulgated by the Assistant Secretary, that any grantee selected is the entity best able to provide the particular services. **((a)(11)(B))**
- (8) DAAS assures that to the extent practicable, legal assistance furnished under the plan will be in addition to any legal assistance for older individuals being furnished with funds from sources other than this Act and that reasonable efforts will be made to maintain existing levels of legal assistance for older individuals;
((a)(11)(D))
- (9) DAAS assures that area agencies on aging will give priority to legal assistance related to income, health care, long-term care, nutrition, housing, utilities, protective services, defense of guardianship, abuse, neglect, and age discrimination. **((a)(11)(E))**
- (10) DAAS shall provide, whenever the State desires to provide for a fiscal year for services for the prevention of abuse of older individuals, the plan contains assurances that any area agency on aging carrying out such services will conduct a program consistent with relevant State law and coordinated with existing State adult protective service activities for--
- (A) public education to identify and prevent abuse of older individuals; (B) receipt of reports of abuse of older individuals;

- (C) active participation of older individuals participating in programs under this Act through outreach, conferences, and referral of such individuals to other social service agencies or sources of assistance where appropriate and consented to by the parties to be referred; and
- (D) referral of complaints to law enforcement or public protective service agencies where appropriate. **((a)(12))**

(11) DAAS provides assurances that each State will assign personnel (one of whom shall be known as a legal assistance developer) to provide State leadership in developing legal assistance programs for older individuals throughout the State.

((a)(I 3))

(12) DAAS assures that, if a substantial number of the older individuals residing in any planning and service area in the State are of limited English-speaking ability, then the State will require the area agency on aging for each such planning and service area—

- (A) to utilize in the delivery of outreach services under section 306(a)(2)(A), the services of workers who are fluent in the language spoken by a predominant number of such older individuals who are of limited English-speaking ability; and
- (B) to designate an individual employed by the area agency on aging, or available to such area agency on aging on a full-time basis, whose responsibilities will include-
 - (i) taking such action as may be appropriate to assure that counseling assistance is made available to such older individuals who are of limited English-speaking ability in order to assist such older individuals in participating in programs and receiving assistance under this Act; and
 - (ii) providing guidance to individuals engaged in the delivery of supportive services under the area plan involved to enable such individuals to be aware of cultural sensitivities and to take into account effectively linguistic and cultural differences.

((a)(14))

(13) DAAS assures that the State agency will require outreach efforts that will identify individuals eligible for assistance under this Act, with special emphasis on--

- (A) older individuals residing in rural areas;
- (B) older individuals with greatest economic need (with particular attention to low-income minority individuals and older individuals residing in rural areas);
- (C) older individuals with greatest social need (with particular attention to low-income minority individuals and older individuals residing in rural areas);
- (D) older individuals with severe disabilities;
- (E) older individuals with limited English-speaking ability; and
- (F) older individuals with Alzheimer's disease or related disorders with neurological and organic brain dysfunction (and the caretakers of such individuals); and inform the older individuals referred to in clauses (A) through

(F) and the caretakers of such individuals, of the availability of such assistance. ((a)(I 6))

(14) DAAS, with respect to the needs of older individuals with severe disabilities, assures that the State will coordinate planning, identification, assessment of needs, and service for older individuals with disabilities with particular attention to individuals with severe disabilities with the State agencies with primary responsibility for individuals with disabilities, including severe disabilities, to enhance services and develop collaborative programs, where appropriate, to meet the needs of older individuals with disabilities. ((a)(17))

(15) DAAS assures that area agencies on aging will conduct efforts to facilitate the coordination of community-based, long-term care services, pursuant to section 306(a)(7), for older individuals who--
(A) reside at home and are at risk of institutionalization because of limitations on their ability to function independently;
(B) are patients in hospitals and are at risk of prolonged institutionalization; or (C) are patients in long-term care facilities, but who can return to their homes if community-based services are provided to them. ((a)(18))

(16) DAAS assures that the state, in carrying out any chapter of this subtitle for which the state receives funding under this subtitle, will establish programs in accordance with the requirements of the chapter.(See description required by section 705(a in Narrative section). ((a)(19))

(17) DAAS shall require AAAs to provide assurances that special efforts will be made to provide technical assistance to minority providers of services. ((a)(20))

(18) DAAS
(A) provide assurance that the State agency will coordinate programs under this title and programs under title VI, if applicable; and
(B) DAAS assures that the State agency will pursue activities to increase access by older individuals who are Native Americans to all aging programs and benefits provided by the agency, including programs and benefits provided under this title, if applicable. (See Narrative)

(19) DAAS assures that If case management services are offered to provide access to supportive services, DAAS shall ensure compliance with the requirements specified in section 306(a)(8). ((a)(22))

(20) DAAS assures that demonstrable efforts will be made--
(A) to coordinate services provided under this Act with other State services that benefit older individuals; and
(B) to provide multigenerational activities, such as opportunities for older individuals to serve as mentors or advisers in child care, youth day care, educational assistance, at-risk youth intervention, juvenile delinquency treatment, and family support programs. ((a)(2))

(21) DAAS assures that the State will coordinate public services within the State to assist older individuals to obtain transportation services associated with access to services provided under this title, to services under title VI, to comprehensive counseling services, and to legal assistance. **((a)(24))**

(22) DAAS assures that the State has in effect a mechanism to provide for quality in the provision of in-home services under this title. **((a)(25))**

(23) DAAS assures that funds received under this title will not be used to pay any part of a cost (including an administrative cost) incurred by the State agency or an area agency on aging to carry out a contract or commercial relationship that is not carried out to implement this title. **((a)(26))**

Sec. 308, PLANNING, COORDINATION, EVALUATION, AND ADMINISTRATION OF STATE PLANS

(1) DAAS assures that no amounts received by the State under this paragraph will be used to hire any individual to fill a job opening created by the action of the State in laying off or terminating the employment of any regular employee not supported under this Act in anticipation of filling the vacancy so created by hiring an employee to be supported through use of amounts received under this paragraph. **((b)(3)(E))**

Sec. 705, ADDITIONAL STATE PLAN REQUIREMENTS (as numbered in statute)

(1) DAAS assures that the State, in carrying out any chapter of this subtitle for which the State receives funding under this subtitle, will establish programs in accordance with the requirements of the chapter and this chapter.

(2) DAAS assures that the State will hold public hearings, and use other means, to obtain the views of older individuals, area agencies on aging, recipients of grants under title VI, and other interested persons and entities regarding programs carried out under this subtitle.

(3) DAAS assures that the State, in consultation with area agencies on aging, will identify and prioritize statewide activities aimed at ensuring that older individuals have access to, and assistance in securing and maintaining, benefits and rights.

(4) DAAS assures that the State will use funds made available under this subtitle for a chapter in addition to, and will not supplant, any funds that are expended under any Federal or State law in existence on the day before the date of the enactment of this subtitle, to carry out each of the vulnerable elder rights protection activities described in the chapter.

(5) DAAS assures that the State will place no restrictions, other than the requirements referred to in clauses (i) through (iv) of section 712(a)(5)(C), on the eligibility of entities for designation as local Ombudsman entities under section 71 2(a)(5).

(6) DAAS assures that, with respect to programs for the prevention of elder abuse, neglect, and exploitation under chapter 3—

- (A) in carrying out such programs the State agency will conduct a program of services consistent with relevant State law and coordinated with existing State adult protective service activities for-
 - (i) public education to identify and prevent elder abuse;
 - (ii) receipt of reports of elder abuse;
 - (iii) active participation of older individuals participating in programs under this Act through outreach, conferences, and referral of such individuals to other social service agencies or sources of assistance if appropriate and if the individuals to be referred consent; and
 - (iv) referral of complaints to law enforcement or public protective service agencies if appropriate;
- (B) the State will not permit involuntary or coerced participation in the program of services described in subparagraph (A) by alleged victims, abusers, or their households; and
- (C) all information gathered in the course of receiving reports and making referrals shall remain confidential except-
 - (i) if all parties to such complaint consent in writing to the release of such information;
 - (ii) if the release of such information is to a law enforcement agency, public protective service agency, licensing or certification agency, ombudsman program, or protection or advocacy system; or (iii) upon court order.

Narrative Statements Required For State Plan Assurances

305(a) (2)(E) preference will be given to providing services to older individuals with greatest economic need and older individuals with greatest social need, with particular attention to low-income minority individuals and older individuals residing in rural areas. (see the following for proposed methods of carrying out the preference)

1. See "Targeting Practices"
2. Target groups participate on AAA boards and Advisory Councils, as well as on the Governors Advisory Council.
3. The funding formula (Attachment A) contains factor to reflect each region's number of 60+, low income 60+, 60+ low income minority.

(See Appendix for Numbers)

(1) The plan describes the methods used to meet the need for services to older persons residing in rural areas in the fiscal year preceding the first year to which this plan applies.: ((a)(3)(B)(iii))(See note below)

Note: The 2004 projected costs of providing services to older individuals residing in rural areas of Arkansas is approximately \$20, 552,028. The number served was 78.4%. The method of classifying areas as rural and urban was developed in consultation with the AAAs. We have used zip codes to classify urban and rural areas for NAPIS reporting purposes and have adopted this as a basis for identifying and targeting the needs of older persons residing in rural areas. Other methods used to meet these needs include;

1. Outreach by AAAs and DAAS, including *Aging Arkansas*, DAAS and AAA web pages, presentations to community groups, events, and articles at the local level.
6. The majority of Arkansas senior centers are located in rural areas.
7. Transportation through van network of local senior centers.
8. Provision of home delivered meals, in-home services, telephone reassurance, and other services on an individual, case managed basis.
9. Pursuit of waivers and grants, either as a state agency or working with community partners.

Note: (RE: Native Americans) specify the ways in which the State agency intends to implement the activities. ((a)(21))

The Native American population of Arkansas is such that only one of the eight Area Agencies on Aging, Western Arkansas AAA, has a significant enough Native American population for this assurance to apply. They currently report serving approximately 22 of the 43 Native Americans served in Arkansas. (Northwest Arkansas AAA reports serving 13 Native Americans.) When additional census data is available the numbers served will be compared with the percentage of the population in each area and this information will be made available to the AAAs. Assurances are also contained within their area plans.

TARGETING PRACTICES

The Older Americans Act identifies certain classes of individuals in greatest need to whom preference must be given in providing services funded under the Act. The special groups of elderly to whom services are to be targeted include elderly individuals whose income is at or below the poverty level established by the Office of Management and Budget with particular attention to low-income minority individuals. These elderly are described as those in “greatest economic need.” Similarly targeted are elderly in “greatest social need,” those whose need is caused by non-economic factors which restrict their ability to perform normal daily tasks or threaten their capacity to live independently such as older individuals with limited English speaking ability, and older individuals with Alzheimer’s disease or related disorders. These factors include:

- 1) Physical or mental impairments;
- 2) Cultural or social isolation, including that caused by minority racial or ethnic status; and
- 3) Language barriers.

The Division of Aging and Adult Services endorses the priorities of the act and pledges continued support and increased emphasis on giving preference to these individuals by the area agencies and service providers.

The Division of Aging and Adult Services has for a number of years had in place, practices which help to target services to the most needy. These include directing area agencies, whenever feasible, to enter into contracts with agencies and organizations operated by minority individuals at least in proportion to their relative numbers in the planning and service area. Whereas out of Arkansas’ senior population of 491,409, there are 56,474 who are in the minority. During the past fiscal year, out of 28,649 seniors receiving Title III Registered Services, 4,437 of those were minority participants. Also, out of 32,598 participants receiving all Title III Services, 5,311 of those were minority participants. For all services other than Title III, 5,956 out of 32,319 participants were minority participants.

To ensure provision of services to rural residents, the Division of Aging and Adult Services supports a senior citizens center in each county of the state, which provides basic services and provides linkages to other sources of assistance. Transportation, congregate and home delivered meals, information and referral, ombudsman services, legal assistance, health screening and volunteer opportunities are available in each county of the state, including the counties classified as rural because they are outside designated SMSAs.

Area Agencies will continue to be required to target supportive and nutrition services for those in greatest social and economic need with particular attention to low-income minority individuals. No area plan will be approved which does not contain procedures for carrying out this requirement.

Service providers will be required to specify how they intend to satisfy the service needs of low-income minority elderly and attempt to provide services to low-income minority at least in proportion to their relative numbers in the planning and service area.

Service providers and Area Agencies will be required to report the number of rural elderly, and lowincome minority elderly served each quarter. These reports will be monitored each quarter by the state agency. Technical assistance will be provided as necessary to Area Agencies and providers who have difficulty in meeting this requirement.

Priority Service Requirements

In the absence of a waiver, each area agency on aging in Arkansas is required to allocate their Title III B funds in the priority service categories in the following way:

- 50% must be spent in Priority Services, and
- A minimum of 5% must be spent in Access services,
- A minimum of 5% must be spent in In-Home services, and
- A minimum of 2% must be spent in Legal Assistance.

The Area Plan Policy revised in 1997 requires that any area agency on aging wanting to allocate its Title III B funds in a way that does not meet the minimum requirements must request a waiver. The waiver request must demonstrate that the need for the services for which the waiver is requested is being met from funding sources other than Title III B.

The request for waiver must include proof that a notice of public hearing was published in a local newspaper; minutes and other records of the hearing explaining how a waiver would affect services; and, evidence that there is no unmet need for the waived services in the area.

Waiver requests are reviewed by the Division of Aging and Adult Services. Notice of the Division's intention to grant a waiver is published in a statewide newspaper and a public hearing would be held on any of the waivers if one were requested.

PART III: GOALS AND OBJECTIVES

Mission Statement

Goal Statements

Goals and Objectives

**MISSION STATEMENT OF
THE ARKANSAS STATE UNIT ON AGING**

It is the Mission of the Division of Aging and Adult Services to develop a system of services that improve the quality of life for older and at risk adults and enable them to live with dignity in the least restrictive environment.

**ARKANSAS
STATE PLAN ON AGING
FY 2004, 2005, 2006**

STATEMENT OF GOALS

- I. Increase public awareness understanding, and support of the Division's mission, goals, and services.**
- II. Expand the continuum of care through the development of new initiatives.**
- III. Strengthen existing services administered by the Division of Aging and Adult Services**

**Arkansas Division of Aging and Adult Services
State Plan
Goals and Objectives**

Goal I. Develop and implement a comprehensive plan to educate the public about important aspects of aging, including financial, legal, health, lifestyle, and other issues.

Objectives:

**Completion
dates**

A. Publications

1. Publish the annual Adult Protective Services Report for distribution to area agencies on aging and other professionals. (04-05-06)
2. Continue to support the publication of articles on health promotions and health-related issues in Aging Arkansas, a newspaper serving older Arkansans statewide. (04-05-06)

B. Technology

1. Add to our web site a list of employees' areas of expertise and their availability to speak and write on their respective topics. Add DAAS publications to the web site as appropriate. (04)
2. Continue development of a statistical database on the elderly population of Arkansas. Develop user-friendly demographics publications and identify appropriate audiences. (04-06)
3. Implement a statewide web-based information, assessment and directory of services system for DAAS and DDS services. An educational component will be included to explain each service and provide information about each participating provider. (04-06)
4. Develop a Senior Medicare/Medicaid Patrol web page, with linkages to related web sites. (04)

C. Collaborative Relationships

1. Enhance relationships and explore partnership opportunities with the UAMS Center on Aging, AARP and Arkansas Department of Health. (04-06)
2. Identify, develop and maintain active, positive working relationships with other state, federal and private compliance and law enforcement agencies involved in Medicare and Medicaid fraud

3. Continue collaborative efforts with Elder Fatality Review pilot; team represented by APS, Pulaski County Coroner, Pulaski County Prosecuting Attorney's Office; NOVA, St. Vincent Health Care, UAMS, Attorney Generals' Office, Pulaski County Sheriffs Dept. (04)

D. Events/Presentations

1. Conduct special events in association with area agencies on aging, such as celebration of UN International Year of the Older Person, Senior Days and health information events for seniors. (04-06)
2. Plan, organize and coordinate the annual Arkansas Aging Conference. Identify and implement ideas for improving the conference each year. (04-06)
3. Plan, organize, coordinate and conduct events with ASMP grant to AAAs to promote awareness of the prevalence of Medicare/Medicaid fraud, waste and abuse and the role of the ASMIP in combating this loss of program dollars. (04-06)
4. Develop a series of presentations on Medicare/Medicaid fraud, waste and abuse aimed at creating informed and vigilant Medicare and Medicaid consumers. (04)

Goal II. Expand the continuum of care through the development of new initiatives.

Objectives:

- A. Explore sources of funding to purchase services for adults who require APS assistance but who do not meet the statutory definition of an endangered adult. (04)
- B. Continue creation of alliances with local churches and civic groups to develop resources for impaired (as defined by the Adult Abuse Statute) adults who need assistance but do not qualify for services through traditional AAA programming because of their age. (04-06)
- C. Explore options for partnering with the UAMS Center on aging, Benton Services Center, the Alzheimer's Association, area agencies on aging, and other organizations to provide training and support to caregivers. (04-06)
- D. Conduct a two-day training (train the trainer) for AAA information and contacts on using the Internet for older caregivers. (04-06)
- E. Provide ongoing information and resources to Caregivers through monthly inserts in Aging Arkansas newspaper. (04-06)
- F. Develop and support Caregiver web page. (04-06)

- G. Continued creation of partnerships with local prosecuting attorneys to develop a more effective prosecutorial response to adult abuse, neglect and exploitation. (04-06)
- H. Explore possibilities of APS-MH alliance to serve mentally ill adults. (04-06)
- I. Monitor potential sources for grant opportunities and apply for and implement grants that will expand and enhance consumer direction, community based services and supports. (04-05)
- J. Continue implementation of existing grants (Real Choice, Transitions, PACE and Next Choice) intended to enhance and expand consumer direction and community-based services and supports. (04-05)
- K. Explore options in creating a registry of medical professionals who would like to provide in-home services to further consumer-directed services. (04)
- L. Implement the optional Medicaid state plan service, Programs of All-Inclusive Care for the Elderly (PACE) to provide comprehensive and integrated services to support older and frail Arkansans so that they may remain in the community for as long as possible. (04)
- M. Promote the development of a group long term care benefit to be offered to Arkansas public employees, which could significantly increase the number of Arkansans that are prepared to finance their own long term care services. (04-06)

Goal III. Strengthen existing services administered by the Division of Aging and Adult Services.

Objectives:

- A. Expand ElderChoices and Alternatives services by 15% in each fiscal year. (04-06)
- B. Expand Targeted Case Management by 20% each fiscal year. (04-06)
- C. Enhance the tracking system for Alternatives, IndependentChoices, ElderChoices and Adult Protective Services. (04-06)
- D. Increase monitoring efforts by ElderChoices staff. (04-06)
- E. Obtain renewal for ElderChoices Program from Health Care Financing Administration. (04)
- F. Develop performance and outcome measures in coordination with area agencies on aging, utilizing the input of the AoA working group on outcome-based reporting. (06)

- G. Evaluate the effectiveness of the assessment process and strengthen assessment of direct service providers. Work with the area agencies on aging to ensure that the assessment process is mutually beneficial. (04-06)
- H. Continue to explore and develop partnership opportunities and information resources for aging minority and women's health issues. (04-06)
- I. Conduct the 12th and 13th Biennial Silver Haired Legislative Sessions, (04-06)
- J. Convene a task force of community partners and other stakeholders to develop a strategic plan and pilot programs in one center/region to assist senior centers in expanding wellness programming based on the concept of "six dimensions of wellness." (04-06)
- K. Assist the area agencies on aging in expanding the number of minority providers in their respective regions. (04-06)
- L. Revise the Alzheimer's Resource Directory annually. (04-06)
- M. Expand the number of providers under the ElderChoices Medicaid Waiver in areas where there is a shortage of providers. (04-06)
- N. Continue to staff Governor's Advisory Council on Aging. Informational programs for Council members at quarterly meetings. Seek opportunities to increase the level of awareness of members about aging network programs. (04-06)
- O. Support the work of a statewide Aging Services Volunteer Advisory Council, assist in planning and conducting quarterly meetings and honoring outstanding state volunteers at the annual Aging Conference. (04-06)
- P. Conduct the Senior Arkansas Hall of Fame and oversee the annual induction of three new members. (04-06)
- Q. Fully automate all administrative requirements of the Adult Protective Services consultants. (04-06)
- R. Collaborate with the Area Agencies on Aging to develop a methodology for tracking funds utilized to serve persons in rural areas. (04-06)
- S. Use research results funded by the Real Choice grant to make systems changes to enhance and expand consumer direction and community-based services and supports. (04-06)
- T. Implement formal training program and curriculum for APS field staff. (04)

PART IV: FINANCIAL PLAN

State Agency Operating Budget Allocations by Planning and Service Area

ARKANSAS

STATE AGENCY OPERATING BUDGET FOR FY2004
TOTAL RESOURCES TO BE USED FOR STATE AGENCY ADMINISTRATION

	Title III	State Match to Title III	Other Agency Resources	Total Agency Budget
Title III, State Admin	\$453,666	\$193,672		\$647,338
Title III (Part B)				
Long-Term Care Ombudsman Program*	\$58,500	\$5,176		\$63,676
Title V11 Elder Abuse Prevention	\$54,141		\$54,141	
Other Federal Funds			\$3,910,052	\$3,910,052
Other State Funds**			\$2,150,987	\$2,150,987
Local Public		\$0	\$0	\$0
Other			\$115,660	\$115,660
 TOTAL	 \$566,307	 \$198,848	 \$6,176,699	 \$6,941,854

*Includes all other federal funds received and utilized by the State Agency for administration. These funds include moneys from Title XIX, and Title V.

**Includes all other state general and special revenue funds received and utilized by the state agency for administration.

DIVISION OF AGING AND ADULT SERVICES
Funding Schedule
JULY 1, 2003 TO JUNE 30, 2004
5/27/03
Revision 1

EGION	HIB	III C1	III.C2	1111) (was III F)	III E	TOTAL rLIII III	SSBG Federal	Title V	Total Federal
Region I	\$461,170	\$540,468	\$238,982	\$30,470	\$184,336	\$1,455,526	\$268,112	\$162,771	\$1,866,417
Region II	\$351,820	\$412,226	\$182,277	\$23,240	\$140,596	\$1,110,159	\$204,494	\$175,573	\$1,490,226
Region III	\$556,147	\$651,635	\$288,138	\$36,738	\$222,251	\$1,754,909	\$323,259	\$335,501	\$2,413,669
Region IV	\$364,532	\$427,121	\$188,863	\$24,080	\$145,677	\$1,150,273	\$211,883	\$213,956	\$1,576,112
Region V	\$572,580	\$670,890	\$296,652	\$37,823	\$228,818	\$1,806,763	\$332,810	\$239,544	\$2,379,117
Region VI	\$408,560	\$478,708	\$211,674	\$26,988	\$163,271	\$1,289,201	\$237,474	\$130,793	\$1,657,468
Region VII	\$382,205	\$447,828	\$198,019	\$25,247	\$152,739	\$1,206,038	\$222,156	\$175,573	\$1,603,767
Region VIII	\$273,066	\$319,950	\$141,474	\$18,038	\$109,124	\$861,652	\$158,719	\$130,792	\$1,151,163
TOTAL	\$3,370,180	\$3,948,826	\$1,746,079	\$222,624	\$1,346,812	\$10,634,521	\$1,958,907	\$1,564,511	\$14,157,939

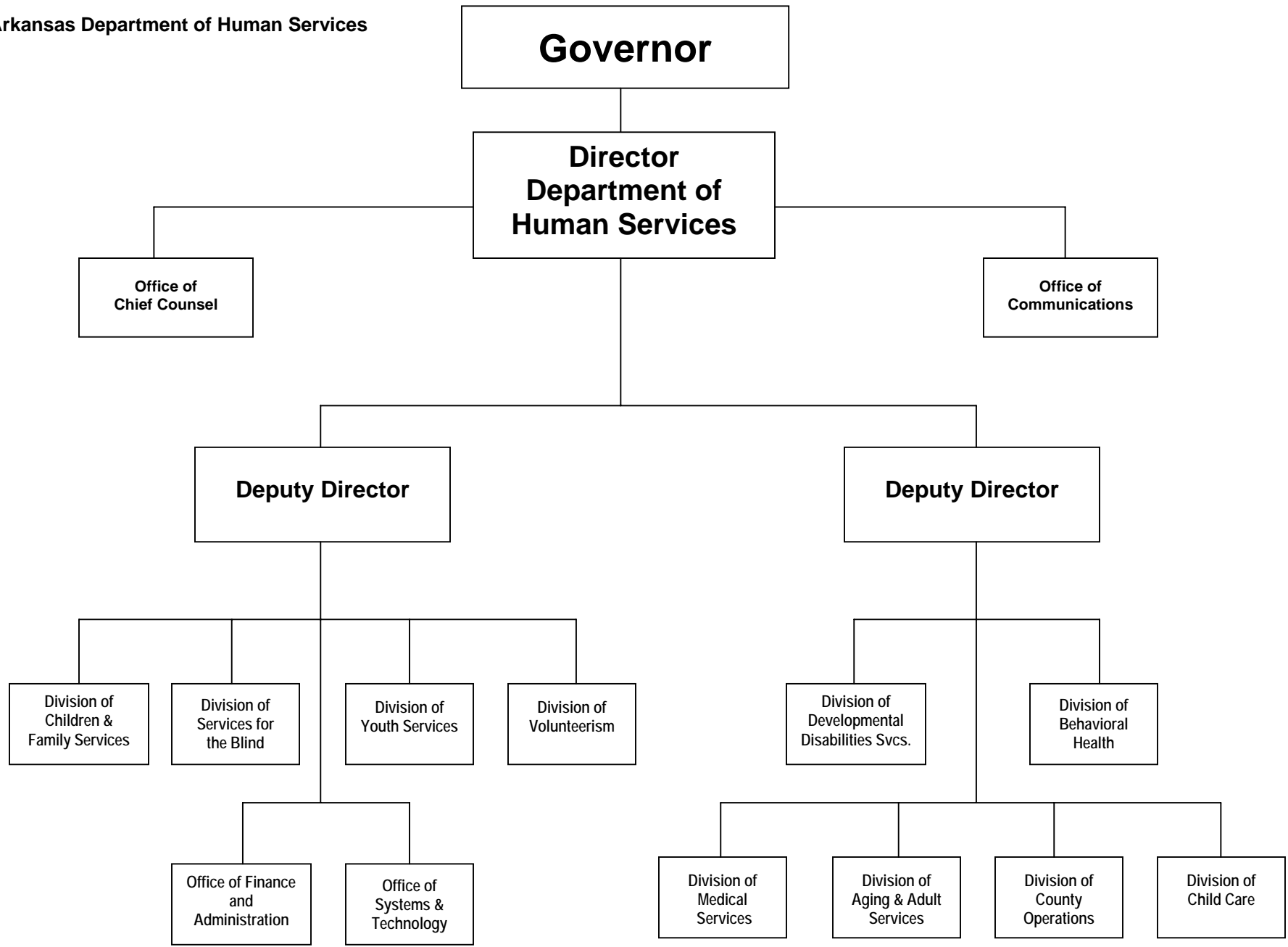
Note: 25.4% of HID must be used for activities related to medication management, screening and education.

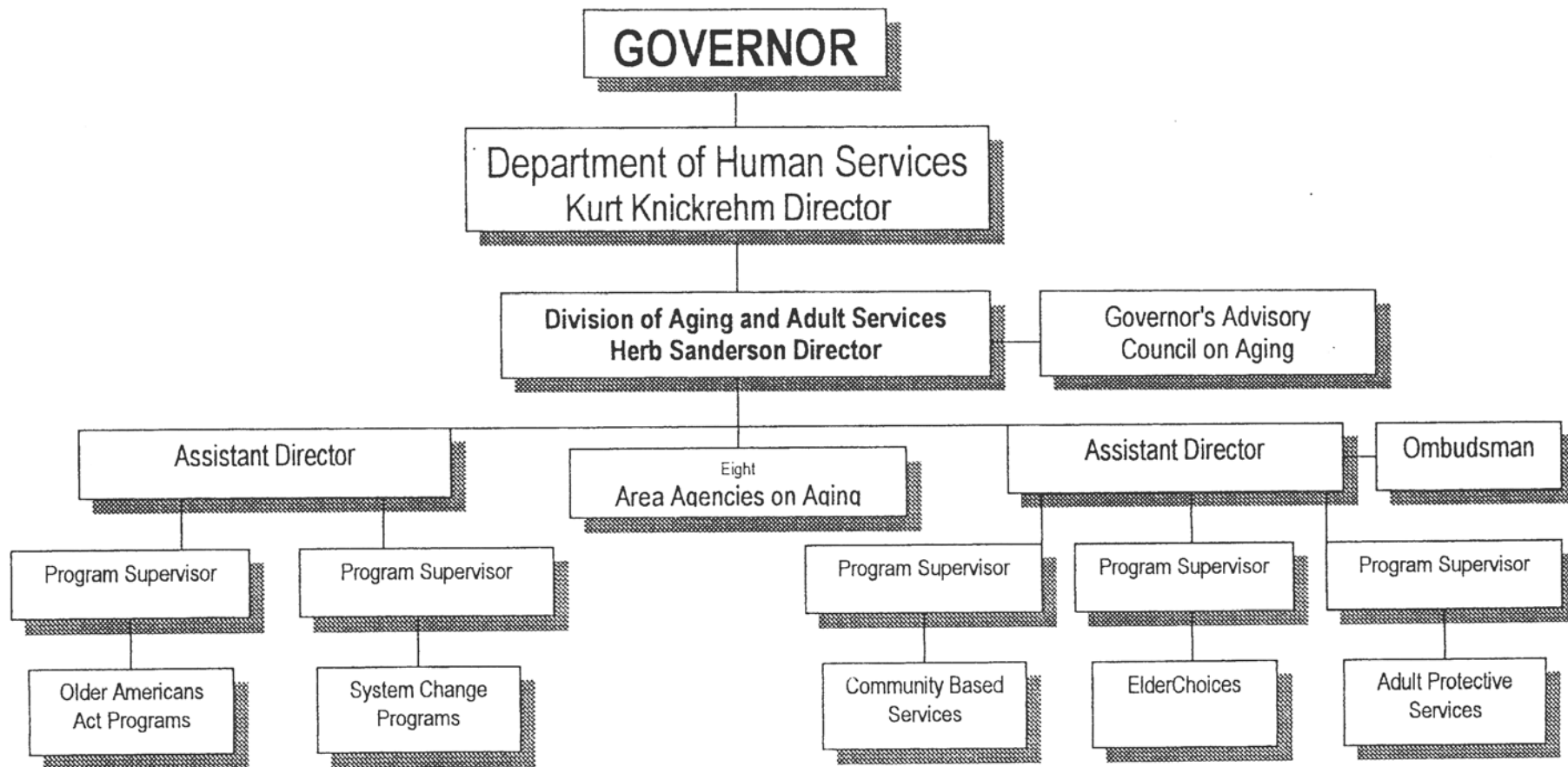
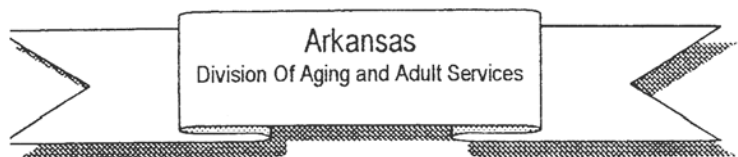
EGION	State Aging Services	State Older Worker	State 55136 Match	Cigarette Tax	STATE Title V Match	Total State	Total Fed. & State
Region I	\$651,465	\$154,935	\$32,843	\$410,604	\$17,073	\$1,267,820	\$3,154,237
Region II	\$496,886	\$118,172	\$25,050	\$313,176	\$18,680	\$971,964	\$2,462,190
Region III	\$785,463	\$186,804	\$39,598	\$495,060	\$36,353	\$1,543,278	\$3,956,947
Region IV	\$514,840	\$122,442	\$25,955	\$324,492	\$23,629	\$1,011,358	\$2,587,470
Region V	\$808,672	\$192,323	\$40,768	\$509,688	\$26,456	\$1,577,907	\$3,957,024
Region VI	\$577,022	\$137,231	\$29,090	\$363,684	\$14,439	\$1,121,466	\$2,778,934
Region VII	\$539,800	\$128,379	\$27,213	\$340,224	\$19,387	\$1,055,003	\$2,658,770
Region VIII	\$385,658	\$91,720	\$19,441	\$243,072	\$14,439	\$754,330	\$1,905,493
TOTAL	\$4,759,806	\$1,132,006	\$239,958	\$3,000,000	\$171,356	\$9,303,126	\$23,461,065

PART V: PLANNING AND SERVICE AREA MAP

PART VI: ORGANIZATIONAL CHARTS

Arkansas Department of Human Services
Division of Aging and Adult Services





ATTACHMENTS

Attachment A Intrastate Funding Formula

Attachment B Implementation of Title VII in Arkansas

**ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF AGING AND ADULT SERVICES**

Policy 106**Funding Formula****Effective: 01/01/91****Policy Statement:**

The Division of Aging and Adult Service (DAAS) will develop a formula for the equitable distribution of funds to the area agencies on aging (AAA).

Purpose:

To comply with Older American Act regulations to develop a formula for the distribution of Title III funds and to apply the same methodology to the distribution of other funds.

Scope:

Applies to the distribution of Title III, SSBG, Advocacy State Older Worker State Cigarette tax and State General Revenue to the area agencies on aging.

General Authority:

Older Americans act of 1965, as amended
45 CFR 1321
Arkansas Code Ann. Section 25-10-101 et seq.
Social Service Block Grant

PROCEDURAL REQUIREMENTS

- I. The funding for area agencies on aging (AAAs) will be allocated as follows:
 - A. Each of the eight AAAs will receive 1 % of the total amount to be distributed from each funding source.

- B. Of the remainder,
 - 1. 50 % will be distributed based on each region's proportion of Arkansans aged 60 and older.
 - 2. 40 % will be distributed based on each region's proportion of low income Arkansans aged 60 and older.
 - 3. 10 % will be distributed based on each region's proportion of low income minority Arkansans aged 60 and older.
- II. DAAS will revise and update the AAA allocation annually as relevant demographic information becomes available.

Implementation of Title VII in Arkansas

Coordination

The Arkansas Title VII programs are administered and overseen by the Division's Assistant Director for Community Based Services (Adult Protective Services and Long-Term Care Ombudsman) and Assistant Director for Operations and Planning section (Legal Assistance and Benefits Counseling). Coordination at the assistant director level.

Long-Term Care Ombudsman Program

The Arkansas State Long Term Care Ombudsman Program is operated by the Department of Human Services, Division of Aging and Adult Services. The state program establishes policies, provides technical assistance, monitors local programs and represents the interest of residents in policy forums. Local Ombudsman programs are operated through eight regional Area Agencies on Aging. Nine Ombudsmen (full time equivalents) visit 226 nursing homes and 100 residential care facilities on a regular basis.

The Ombudsman Program provides prevention services by: providing information on residents' rights and other long term care issues to residents and facility staff; visiting with and assisting in the development of resident and family councils; and providing information to the public about problems and conditions in nursing homes and residential care facilities. The Program provides intervention services by: advocating on behalf of residents; helping them assert their rights and express their grievances on issues pertaining to their health, safety, welfare and rights with the long term care facility; investigating and seeking resolution of complaints made by or on behalf

of residents; providing information to state surveyors about problems within the facility; and assisting residents during the state survey process and attending the exit conference.

Ombudsmen also provide follow-up services by regularly visiting with residents and facility staff, and monitoring the facility's progress in correcting problems.

Legal Assistance Program

The legal services developer is located in the Division's Advocacy Section. No Title VII funds are being spent for legal assistance. The "Priority Service Requirement" policy requires that each area agency on aging allocate at least 2% of its Title III B funds for legal assistance. State general revenue funds are used to contract with Arkansas Volunteer Lawyers for the Elderly and Center for Arkansas Legal Services for the development of *pro bono* services. A legal assistance task force composed of aging network staff who work with clients needing legal assistance and Legal Services Corporation attorneys is convened quarterly by Arkansas Volunteer Lawyers for the Elderly.

Adult Protective Services

The Division of Aging and Adult Services oversees Arkansas' Adult Protective Services (APS) Program (Arkansas' elder abuse program). Twenty Adult Protective Services Social Services workers statewide conduct investigations of adult maltreatment. Following the trend of aging adults in Arkansas, APS endeavors to meet the increasing number of maltreatment cases with public awareness programs to establish a preventive model for adult abuse. Funded by state general revenues and Title XIX administrative funds, Adult Protective Services uses Title VII funds for ongoing statewide education activities designed to inform providers, professionals and

private citizens of the indicators of adult abuse, mechanisms for reporting concerns, and strategies to promote prevention. 3,200 reports of adult maltreatment were received on the Adult Abuse Hotline in 2002, 72% of these cases involved individuals 60 years and older.

Benefits Counseling

The Division of Aging and Adult Services Information and Referral program annually updates and publishes the booklet, *Public Benefits for Arkansas Seniors*, which is disseminated to aging network staff providing benefits counseling, and to students, clients and others who inquire about benefits. A web page will be developed with state-wide access, which will allow interested persons access to the most current benefits data.

The Division coordinates with the targeted case management and client representation services of the eight Arkansas area agencies on aging. Staff at the Division of Aging can provide counseling about services and benefits to clients, and can arrange technical assistance and training for benefit counselors as needed. Title VII funds are not used for these activities. Information and Referral staff are funded by Title III B. Area agencies on aging fund case management and client representation services using Medicaid and Title III B funds and state general revenues.



Arkansas Department of Human Services

Division of Aging and Adult Services

1417 Donaghey Plaza South
P.O. Box 1437, Slot 1412
Little Rock, Arkansas 72203-1437

Telephone (501) 682-2441 FAX (501) 682-8155 TDD (501) 682-2443 APS TDD (501) 682-1441

MEMORANDUM

TO: Lisa Theirl, Dallas Regional Office

FROM: Diane-Broadway, Arkansas Division of Aging and Adult Services

DATE: August 22, 2003

SUBJ: Arkansas State Plan on Aging

Lisa, the attachments to this memo are our response to your request for additional information after your initial review of our State Plan.

Also, three copies of the Plan are enclosed as requested

I hope, that with the additional information, we have been successful in shoring up the weak areas of our Plan.

Please contact John Diaz or myself should you need further information.

Thank you for your assistance.

AMENDMENT (I)

Administering the National Family Caregiver
Support Program (NFCSP)

1. Implement NFCSP by providing support services through the established eight Area Agencies on Aging who presently provide services statewide and involve other agencies where possible. Services will include the following areas:

- a. Information about services
- b. Assistance with accessing services
- c. Respite Care
- d. supplemental services
- e. Counseling/support groups/training

2. Allocate funding for each service as follows:

- | | |
|---------------------------------------|------------|
| a. Information | \$ 376,214 |
| b. Assistance | \$ 35,729 |
| c. Respite Care | \$ 294,554 |
| d. Supplemental | \$ 66,367 |
| e. Counseling/support groups/training | \$ 88,275 |

3. Serve on an annual basis the following number of caregivers:

- | | |
|----------------------------|--------|
| a. Information | 12,987 |
| b. Assistance----- | 1,376 |
| c. Respite----- | 630 |
| d. Supplemental----- | 181 |
| e. Counseling/support----- | 5,599 |
| groups/training | |

4. Implement the serving of "Caregivers" by:

- a. Developing and supporting a statewide caregiver web page, allowing existing and new caregivers, as well as care recipients easy access to an array of available services in their respective geographic area.
- b. Establish working partnerships with other agencies/programs to build upon our efforts in providing as much assistance and information as possible to the caregiver and care recipient. Link caregiver and care recipient to appropriate support groups such as Alzheimer's Association.
- c. Publish articles, and advertise services available through the NFCSP in "Aging Arkansas" a newspaper dedicated to seniors/caregivers. This publication has a statewide distribution of 40,000, as well as out-of-state distribution. Other media sources will also be utilized for information distribution.
- d. Involve caregivers and recipients when possible, in existing and newly developed training programs.

5. Integrate the NFCSP into existing caregiver programs:

- a. Add a component to existing intake forms that will help identify clients who may benefit from NFCSP/services.
- b. Coordinate, and interact with organizations and support groups who are providing services for caregivers to establish a link within their programs to the NFCSP.
- c. A symposium on caregiving is planned for the year 04, to which service providers, policy makers, and recipients of services will be invited to attend. The findings of the symposium will be published in Aging Arkansas and other publications.

AMENDMENT (2)

Additional plan objectives

Transportation Objectives

add under goal 1

- C. Maintain an active and positive working relationship with the Arkansas Transit Association in order to see that the Area Agencies on Aging have access to the most up-to-date training programs for their van drivers providing transportation to seniors.
(04-06)
- D. Work with the Arkansas Transit Association on the developing legislation and funding for the purchase of replacement vehicles for human service, rural and urban transportation.
(04-06)

Nutrition Objectives

Add under goal I

6. Develop a nutrition information page with linkages from DAAS website. (04)

Add under Goal III

- U. Develop a list serve for model wellness center Directory. (05)

- V. Develop and distribute nutrition education materials for Farmers Market program, National Nutrition month, 5-A-Day month and other health related events. (05-06)

- W. Develop a calendar exhibiting nutrition and health information and dates of health related activities for wellness centers. (04-06)

AMENDMENT (3)

PROCEEDINGS OF THE STATE PLAN PUBLIC HEARING

The State Plan public hearing, held on July 8, 2003, was attended by Governor's Advisory Council on Aging members, newspaper reporter, and DAAS program staff members. A total of thirteen people attended the hearing.

General Comments/Agency response:

- **What type of funding is available for services**

DAAS Response:

DAAS fiscal representative responded with explanation about the various funding streams available to DAAS and explained briefly the budgeting process that is mandated by DHS, Department of Finance & Administration. Federal mandates/regulations were also summarized. The distribution of federal funds (funding formula) to the eight Area Agencies on Aging was explained. DAAS routinely seeks additional funding from private foundations and grants to expand and enhance existing services and to implement new and innovative programs.

- **The need for increased services by frail seniors (more seniors needing services)**
- **Baby Boomers needing services**

DAAS Response:

DAAS recognized that baby boomers will add significantly to the number of frail seniors utilizing existing services. DAAS partners with other organizations, to increase and expand existing services, while actively seeking funding to expand and develop new programs for senior Arkansans. Participation in the National Family Caregiver Support Program (NFCSP) is an example of a new program, that provides services to caregivers who are most often seniors themselves. Another aspect of managing the need for more

services, is keeping seniors healthy. DAAS launched the establishment of "wellness" centers across the State. Utilizing existing senior centers with established participants and programs, a packaged program was introduced at the centers which, when utilized, should increase activities that will promote healthy lifestyle changes. The program has an educational component designed to increase awareness of good health behaviors and behavior change modification. Promoting healthy lifestyles may delay the need for increased services in the future and prevent unnecessary institutionalization.

- **Involvement of Governor's Advisory Council on Aging in State Plan development**

DAAS Response:

DAAS understands the great value of including the Advisory Council in the State Plan process. This tremendous resource of knowledge and skills will be used to expand our efforts for our State Plan development as well as our public hearings on the plan. DAAS will:

- a. distribute copies of plan to Council members for review
- b. Provide training to members on what a State Plan does
- c. Seek Council members input during the development/writing of State Plan
- d. Have members assist in conducting public hearings

- **Need for comprehensive prescription drug program**

DAAS Response:

The cost of prescription drugs and is most often the number one issue discussed in any setting with seniors. To offer some assistance with this major issue, DAAS was instrumental in assisting two area agencies on aging secure funding for a "discount prescription drug card program". This program will help individuals obtain necessary medicines by choosing and applying for the most beneficial prescription drug card. Efforts will continue in seeking funding for remaining areas of the State to offer a discount card program.

AMENDMENT (4)

STATE AGENCY OPERATING BUDGET FOR FY2004
TOTAL RESOURCES TO BE USED FOR STATE AGENCY ADMINISTRATION

	Title III	State Match to Title III	Other Agency Resources	Total Agency Budget
Title III, State Admin	\$453,666	\$193,672		\$647,338
Title III (Part B) Long-Term Care Ombudsman Program*	\$58,500	\$5,176		\$63,676
Title V11 Elder Abuse Prevention	\$54,141		\$54,141	
Other State Funds *			\$2,150,987	\$2,150,987
Other federal Funds***			\$3,910,052	\$3,910,052
Local Public		\$0	\$0	\$0
Other			\$115,660	\$115,660
TOTAL	\$566,307	\$198,848	\$6,176,699	\$6,941,854

*Includes all other federal funds received and utilized by the State Agency for administration. These funds include moneys from Title XIX, and Title V

**Includes all other state general and special revenue funds received and utilized by the state agency for administration.

***Includes \$144,443 for the 8 regional Ombudsman programs